The Role of Metropolization for the Development Process in India and China

The Demographic and Functional Dimension - A Comparative Analysis

Dirk Bronger

1. "Metropolization" as a Development Problem of Third World Countries

The Definition of the Concept

With good reasons the urbanization of the earth has been named as one of the most fundamental global process of change in the history of mankind. Unlike in the "Industrial Countries" (I.C.) (1) this radical change covering all spheres of life has taken an entirely different course in the Developing Countries (D.C.) - instead of urbanization we should speak more truly of *metropolization*. This statement stretches the necessity of a definition of the concept. - The phenomenon "metropolization" can be characterized by the following four major features (2):

1. The demographic dimension: The concentration process of the population as a whole as well as urban population in the metropolitan cities has to be viewed as the specific characteristic of the striking population increase in the past four decades (1940-1980) in the D.C.s: whereas the metropolitan population (places > 1 mill. (3) of the I.C.s raises to 3.4 times within this 40 years' period it ran up to 15-times (!) in respect of the D.C.s, a demographic process hitherto unknown over such a short historical period. In 1940, the ratio of the metropolitan population was still 75:25 in favour of the industrialized nations while in the short period covered by the next four decades this ratio reached already 40:60 and by the end of this century it will be completely reversed to 25:75. In the year 1940, just about each fiftieth inhabitant of the D.C.s lived in a metropolis, in 1980 it was already each tenth and in the year 2000 almost each fifth person will reside in a metropolitan city located in the Third World. Finally as far as the urban-metropolitan population ratio is concerned: while in 1940 one eigth (16.3%) of the urban population (20.000 and over) stayed in metropolitan cities, in 1980 this proportion rose to almost incredible 46.4% (I.C.: 36,3%), i.e. nearly every second urban dweller lives already in a metropolitan city (Tab.1).

	194	10	1	960	19	80
No.	D.C.	I.C.	D.0	C. I.C.	D.C.	I.C.
I Metropolitan Growth (absolute figures - in mill.)	23.1	69.4	110.9	146.9	351.9	235.7
II Metropolitan: Urban Population Ratio (figures in %)	16.3	24.3	35.4	33.4	46.4	36.3
III Growth of Metro- politan Population (1940 = 100)	100	100	480	212	1.526	339

Tab.1: Metropolization Process 1940 - 1960 - 1980; D.C. : I.C.

Sources: Bronger 1982, p.151, note 6; Gilbert/Gugler 1982, p.5. (extrapolations by the author).

To sum up: The actual population "explosion" has taken place in the metropolises. And: these figures already prove that metropolization is an entirely independent problem within the context of the global process of urbanisation.

- 2. The historical context: In the industrialized nations, especially in Western Europe as well as in North America, metropolization has taken place as a continuous process which began as early as the second half of the past century and must be considered to be causally linked with the preceding industrialization and its creation of jobs. In contrast, metropolization in the D.C.s occurs in an almost reversed situation: only in the last 30-40 years *prior* to their economic development have those countries been, as it were, steamrolled by its dynamics because the connected problems suddenly facing the developing countries arose in addition to those which the industrialized nations had mainly overcome *before* metropolization began: political stability, independence, relative economic stability, a satisfactory standard of living and a sound but flexible structure.(4)
- 3. The functional dimension: A definitely much more essential component of the phenomenon "metropolization" than the already high percentage of population is to be seen in the concentration not only of the political and administrative functions but also of the economic, social and cultural activities upon the capital region - in short,

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the *functional primacy* of the metropolis. Apart from the four subcontinental states of China, India, Indonesia and Brazil, all vital functions are concentrated in the mostly sole metropolitan region (including the larger capitals) when related to the strong and disproportionate growth of part of the population (5) (*demographic primacy*) this becomes even more pronounced. Furthermore, the administrative headquarters of most of the national groups in the secondary and tertiary sectors - the multinationals, organizations, companies, etc.-seem to be concentrated almost entirely upon the metropolis(es) (*international primacy*).

4. The development-policy dimension: This overconcentration of all the major functions of life was already established in the colonial period which often lasted for several centuries; but it has undergone considerable further development during the short period of political independence. From the point of view of development policy the real explosive effect of the demographic as well as functional primacy together with its strong dynamics produces extremely serious consequences with which the administrations of these cities (and the central governments too) have been and continue to be overwhelmed - especially with regard to their financial constraints.(6) The consequences internal to the metropolis are represented expecially by the marginalization of the constantly expanding population strata of the metropolises accompanied by widening income disparities with regard to a numerically small upper class which controls economy as well as politics. A serious aspect is the steadily increasing percentage of slum and squatter areas (of much higher dynamics than the overall demographic "explosion") within these cities which inhabit already 20-50% (and more) of the population. Externally it is the causal connection between the dynamization of the metropolitan primacy, and that of the regional development incline as also between metropolization and the development of other regional centers. In concrete terms this means the stagnation of almost all the other regions forming the dynamization of the regional development incline between centre (metropolis) and periphery. This stagnation includes also the vast majority of the higher ranking regional centers. These often neglected regional centers cannot even properly perform their essential functions - ensuring that the rural population is supplied with its basic needs - quite apart from providing development stimuli for "their" region.

The dynamics of metropolization and the regional disparities in the relevant country's development which are directly and causally linked with those dynamics have become a major feature of spatial structure while their consequences have become a serious development problem for Third World nations. Thus, the reduction of the primacy (demographic and functional) together with that of the regional incline presents itself nowadays as the most important task of regionally-oriented development policy and planning in Third World countries. - The target of this study is the attempt of a comparative analysis between China and India regarding the demographic and functional dimension of the phenomenon "metropolization".(7)

2. The Demographic Dimension

2.1 Basic Constraints

In the beginning we have to make evident, that a comparative regional analysis (8) of the demographic aspect of the phenomenon "metropolization" reveals already a number of basic constraints which make such an intercultural comparison quite difficult – and a worldwide comparison, as undertaken in a large number of urbanization studies, hardly sensible. In concrete terms these constraints refer to (9)

- (1) the data-basis in general: In India we have the census conducted regularly within a 10-year-period since 1881 providing reasonably accurate and detailed information. In contrast to this there are no complete records of the population of Chinese cities and towns of any period before the first census ever taken, i.e. the CENSUS 1953 and again up to the year 1982.(10) All other figures are admittedly estimates.
- (2) What makes the distinction of the metropolitan population in China and accordingly a comparative analysis still more difficult is the fact that the delimitation of the metropolitan area is quite problematic. In this connection a specific feature has been overlooked in most cases: The population figures do not only refer to a more or less limited "urban area" like in India but normally incorporate one or several counties (xian) - according to population size comparable to 3-4 Indian talukas (11) - i.e. an often considerable agricultural umland. In the recently (1985) published "urban statistics" in China two different area levels have to be distinguished:

- "city proper": shiqu

- "city proper and counties": quanshi

However, even the "city proper" area in almost all cases is considerably larger than the one of the "urban agglomeration" in India (12) (see: Tab.2 and 3).

- (3) In addition to this, the area on which the computation of the population is based (and thus, of course, the population density) varies quite considerably from metropolis to metropolis. This is true especially with respect to China: Shanghai's 6.9 Mio inhabitants are squeezed in 340 sqkm while the 5.3 Mio of Tianjin refer to 4.276 sqkm resulting a density ratio of 16:1! (see: Tab.2, col.2-4).
- (4) Growth and distinction of the metropolitan population will be all

the more problematic if we take a fourth component into consideration: The frequent and, sometimes extensive *changes* in urban area. These significant extensions of the municipal boundaries refer to almost all of the Chinese metropolitan cities owing to the communist regime's attempt to make the large cities a virtually self-sufficient economic and administrative unit, "to promote mutual support between industry and agriculture, and to facilitate the assignment of manpower".(13) In contrast to the Chinese metropolis the territorial extensions of the Indian metropolitan cities, even in the case of U.A. areas, were relatively limited in the past 30 years.

(5) A fifth category of constraints - and now the whole problem of comparability becomes a real puzzle - we have the disagreement about the term "urban". More precisely, it is not merely the fact that the demarcation what ist "urban?" differs between our two countries.(14) Unlike in India the rural population within the municipal boundaries is counted separately. To make the confusion almost complete: in recent times the Chinese sources distinguish not only between "total" and "urban" but additionally between "agricultural" and "non agricultural" population. However, because of the often huge area of the Chinese metropolitan cities this figure is more relevant: even within the shiqu-area the majority (11 out of 19) of the metropolises have a percentage of "agricultural population" of 20-40%, whereas out of the 12 in India 3.2% is the highest (see: Tab.2 and 3, col.7).

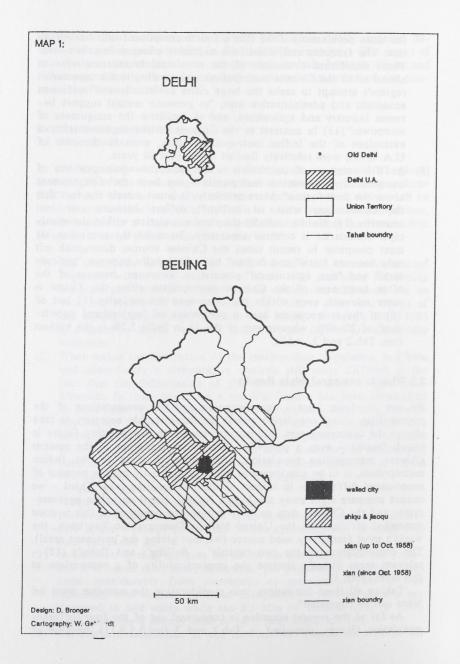
2.2 What to compare? Main Results

We can conclude: First - our discussion and the computations of the metropolitan cities' population reveal the incontessable necessity to take always the concerned area into account on which a population figure is based. Second - even a somewhat accurate computation of the present Chinese metropolitan population, comparable to those of the Indian metropolises, is to be considered as highly problematic mainly because of pronounced differences in respect of the metropolitan *area*. Third - we cannot compare right away the Indian figures, based on urban agglomeration and the Chinese data on "city proper and counties" as this is done somewhat officially in the United Nations Demographic Yearbook, the world's most frequently used source (without giving the pertinent area!). The confrontation of the two capitals' - Beijing's and Delhi's (15) - relevant areas directly disclose the impracticability of a comparison at this level (Map 1).

Taking all these limitations into consideration the question must be: What can be compared?

As fas as the *present* situation is concerned out of the four different population figures, compiled in Tab.2 and 3 (col.3,5,9,11), because of

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the even overbounded (16) city area (shiqu) of the majority of Chinese metropolises (main exception: Shanghai), the non-agricultural "city proper" population (Tab.2, col.5) (17) and the Indian urban agglomeration figures (Tab.3, col.3) could be compared best. Regarding China's past, we have to use the total population *shiqu*-figures (Tab.2, col.3) because no comparable "non-agricultural" data for the previous years are available. Additionally the "city proper" area corresponds mostly to the 1953-municipal area or is at least comparable to the latter. - As matters stand the results derived from the available data can be summarized as follows:

2.2.1 Metropolization Quota

On a *national* level India (6.2%) and particularly China (4.3%) still rank at the lower end within the Asian Scene.(18) The data on a *regional* level presents quite a different picture: In general - there is quite a pronounced heterogeneous fabric regarding the level of metropolization: Almost exactly half of the states resp. provinces - 13 out ouf the 26 provinces (19) in China and 8 out of the 17 major states in India (20) - are still without any metropolitan city, and some are even far away from developing one. In particular - we can state a number of regions, though still limited, with an already comparatively pronounced metropolization quota of close or even above three times the national average: Maharashtra (17.9%) and West Bengal (16.8%) in India - Haryana/Delhi (32.5%) is to be considered as a special case - Liaoning (18.3%), Hebei (12.6%) (21) and Jiangsu (11.7%) (22) in China. Thus, the data interpretation on a regional basis (Map 2) discloses a number of concurrences.

But what is more relevant: the causes for these regional inbalances coincide largely: The latter regions correspond to the comparatively industrialized parts of both countries, and coincidently the industrialization started in conjunction with the colonial, i.e. the economic interests of foreign countries mainly along the coastal areas including their hinterland: Calcutta and Bombay in India, the "treaty ports", later the exploitation of former Manchuria, in China.(23) On the other hand, the fact that the regions lacking metropolitan cities are more or less peripherally located, does not necessarily coincide with a generally low level of development (Kerala, Punjab!). In China a pronounced regional east-west incline is still existing mainly as a result of the historical events in combination with the natural constraints and despite a strong counter-balancing governmental policy (see below), whereas in India, due to the different natural preconditions, such a clear-cut regional structure ist not that apparent. TAB. 2: AREA AND POPULATION OF METROPOLITAN CITIES IN CHINA - 1984

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13.545 6.702 495 3.571 53.3 77 63.235 2 352 1.124 3.193 919 81.8 320 12.503 1.345 3.222 2.395 5.466 51.2 86 113.150 1.734 903 929 51.9 86 113.150 4 1.734 903 2.992 5.406 $1.3.150$ 4 33.713 1.734 903 2.466 71.2 86 113.150 4 1.7450 2.540 1.753 $6.0.0$ 341 12.641 10.029 1.551 1.793 50.3 1.743 379 22.341 112.644 1.551 1.793 50.3 11.743 379 22.341 112.644 1.554 1.733 555 11.743 376 22.341 12.644 1.554 1.733 556 21.527 21.954 21.956 21.466 25.2127 51.96 <td< td=""><td></td><td>11.413 3.338</td><td>4022.144</td><td></td><td>110 504</td><td>55.261 8.216</td><td>18.580 6.006</td><td>336 731</td><td>6.121 32.9 3.257 54.2</td><td>110 211</td></td<>		11.413 3.338	4022.144		110 504	55.261 8.216	18.580 6.006	336 731	6.121 32.9 3.257 54.2	110 211
13.846 1.042 753 5.406 51.9 66 113.150 1.345 3.222 2.395 2.486 77.2 480 16.657 1.934 5.217 290 2.083 999 54 33.733 1.934 5.217 290 2.083 999 54 33.733 1.934 5.17 993 555 561 911 15.239 1.705 12.570 775 6.315 50.2 71 66.098 3 1.705 12.570 775 6.315 50.2 71 66.098 3 5.2341 1 1.450 2.734 1.7751 1.731 60.0 341 2.3431 1 1.553 5.3431 1 1.5456 2.436 2.3436 1 5.533 1 1.5561 2.3436 1 2.5456 2.4366 2.436 2.436 2.436 2.436 2.436 2.436 2.436 2.4366 2.4366 2.4366	ni jar nig tior	6.702 1.124	495 3.193		320	63.235 12.503	22.979 4.970	363 397	4.833 21.0 1.248 25.1	77 59
17.994 5.217 2.90 2.003 39.9 54 30.005 625 618 903 492 565 556 56 30.009 3 625 618 903 492 565 50.6 31.1 5.259 17.105 12.570 735 6.315 50.2 71 16.009 3 1.450 2.540 1.797 2.031 74.3 379 2.2341 1 1.521 2.734 1.797 2.031 74.3 379 22.341 1 1.521 2.734 1.797 2.031 74.4 156 24.45 1.6444 4.794 291 1.763 56.8 50 16.44 156.4 2.436 1.355 551 1.043 36 57.436 15.44 156 39.03 16.466 2.5.727 2.5.194 2.644 1.686 74.1 338 9.853 39.3556 39.566 39.266 56.3 56.66 10.443 57.444 57.446 57.436 56.66 57.436 <td></td> <td>1.042</td> <td>753</td> <td></td> <td>86</td> <td>113.150</td> <td>40.977</td> <td>362</td> <td>9.408 23.0</td> <td>86</td>		1.042	753		86	113.150	40.977	362	9.408 23.0	86
1.834 903 942 565 2.5 10.029 1.7105 5.18 989 501 81.1 5.229 1.705 2.540 1.751 1.523 60.0 341 10.029 1.450 2.540 1.797 2.031 74.3 379 22.341 1 1.450 2.540 1.797 1.793 650 341 12.643 1 1.450 2.540 1.797 1.793 503 60 341 12.643 1 16.454 1.793 555 171635 60 15.446 2 2446 2.446 2 2446 2.446 2 49.049 1 2 49.049 1 2 40.049 1 2 46.616 2 46.616 2 2 46.616 2 2 46.616 2 46.616 2 46.616 2 2 46.616 2 2 46.616 2 2 46.616 2 2 2 2		5.217	290		54	38.713	8.515	220	2.345 27.5	54
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		903 618	492 989		-	10.029 5.259	2.245 1.398	224 266	668 29.7 581 41.6	92 160
1.521 2.734 1.97 2.031 3.79 22.341 1 1.454 4.794 2.91 1.763 555 871 64.4 156 2.436 2.436 1.353 555 871 64.4 156 2.436 2.7456 1.353 555 11.743 33.6 60 33.207 2.735 5.194 202 11.743 33.6 60 33.207 2.737 5.44 1080 70.1 136 15.561 9.653 9.61 2.277 2.644 1.666 74.1 338 9.9653 21.227 2.237 2.644 1.666 74.1 338 9.9653 2.122 1.455 666 1.145 78.7 44.0415 46.616 2.122 1.455 61.9 1.657 44.7 $10.2.856$ 59.556 9.750 0.33 10 51.9 86.4 72.776 10.440 $9.167.144$ <t< td=""><td></td><td>12.570 2.540</td><td>735 1.751</td><td>6.315 50.2 1.523 60.0</td><td>71 341</td><td>68.098 12.614</td><td>37.237 8.540</td><td>547 677</td><td>8.822 23.7 2.255 26.4</td><td>71 123</td></t<>		12.570 2.540	735 1.751	6.315 50.2 1.523 60.0	71 341	68.098 12.614	37.237 8.540	547 677	8.822 23.7 2.255 26.4	71 123
2.436 1.333 555 871 6.4 156 2.436 25.727 5.194 202 1.743 33.6 60 39.207 2.081 1.355 651 1.080 70.1 136 15.561 9.534 5.279 554 2.869 54.1 33 9.953 9.534 5.277 5.64 1.686 74.1 338 9.953 9.534 5.277 5.64 1.666 74.1 338 9.953 21.257 2.527 119 1.626 64.4 65 46.616 2.122 1.455 686 1.145 78.7 44.4 14.415 99.750 633 10 519 82.0 68 10.2.556 99.750 633 10 532 48.4 72 12.776 99.750 633 10 532 48.4 72 12.776 5.802 1.099 189 532 48.4 72 12.776 1.67.144 1.03 333 248.4 72		4.794	291	2.031 74.3	379 50	22.341	13.945	624 291	3.199 22.9 1.763 36.8	96
25.127 5.194 202 1.743 35.6 651 1.355 651 15.561 9.534 5.54 1.080 70.1 136 15.561 9.534 5.24 5.84 1.080 70.1 136 15.561 9.534 5.277 5.54 1.080 54.1 36 9.039 9.127 2.644 1.686 54.3 37 9.053 21.257 2.527 119 1.626 64.4 65 46.616 2.122 1.455 686 1.145 78.7 44.4 14.415 99.750 633 10 519 82.0 68 10.2856 99.750 633 10 519 82.0 68 10.2856 5.802 1.099 189 532 48.4 72 12.776 n.a. n.a. n.a. n.a. 72 12.776 n.a. 1.147 100 943 82.5 16.7144 11.440 1.147 100 947 87 16.7144		1.353	555	871 64.4	156	2.436	1.353	555	6	156
9.534 5.279 554 2.869 54.3 75 49.049 1 861 2.277 2.044 1.686 74.1 338 9.853 861 2.277 2.044 1.686 74.1 338 9.853 21.257 2.527 119 1.6686 74.1 338 9.853 21.257 2.527 119 1.6666 1.145 87.7 44.4 21.250 6.33 10 519 82.0 68 102.856 99.750 6.33 10 519 82.0 68 102.856 5.802 1.099 189 532 48.4 72 12.776 5.802 1.099 189 532 48.4 72 12.776 6.7.144 3.775 2.3 2.411 6.3 16.7.144 11.400 1.147 100 94.7 157 1440	5031 5031 503 1212 1212	5.194 1.355	202 651	1.743 33.6 1.080 70.1	60 136	39.207	7.107 3.268	181 210	1.976 27.8 1.183 36.2	60 363
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99.750 633 10 519 82.0 68 102.856 5.802 1.099 189 532 48.4 72 12.776 n.a. n.a. n.a. 5.411 6.7 14.4 17.716 167.144 3.775 23 2.411 6.35 84 167.144 11.440 1.147 100 94.7 84 167.144			119 686		65 444	46.616	4.457	96 169		65 105
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16/.144 3.//5 23 2.411 63.9 84 167.144 11.440 1.147 100 947 82.5 135 11.440				н.	57		n.a.		n.a. n.a.	57
	-		23 100	2.411 63.9 947 82.5	84 135	167.144 11.440	3.775	100	2.411 63.9 947 82.5	135
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Total "urban" n.a.: figures not available <u>Sources:</u> STATE STATTSTICAL BUREAU, PRC (Ed.) (1985), Statistical Yearbook of China - 1985, Hong Kong; <u>STATE STATTSTICAL BUREAU</u>, PRC (Ed.) (1985), China, Urban Statistics 1985, Hong Kong, (author's calculations).

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AREA AND POPULATION OF METROPOLITAN CITIES IN INDIA - 1981 (N.A.P. = Non-Agricultural Population; L.E.D. = Level of Economic Development) TAB. 3:

STATE/ Metropolis	Area (sqkm)	MET AGGLOME Populatio (000)	METROLULIAN AGGLOWERATION (M.A.) Population Density (000) (per sqkm)	A.) NAP n) (%)	LED 1980	Area (sqkm)	Fopulation (000)	METROPOLITAN REGION (M.R.) 1 Density (per sqkm)	1. .) NAP (%)	LED 1980
	2	Э	4	9	7	ω	6	10	12	13
	1.483	6.220	4.194	97.4	1.075	10.655	10.255	962	79.6	710
ANDHRA PRADESH ¹⁾ Hyderabad Vishakhapatnam	4.046 379 97	12.488 2.546 603	3.086 6.715 6.253	84.4 98.2 99.1	107 324	7.710 11.161 ₊₎	3.843 2.576	498 231	67.0 37.7	107 223 109 ₊)
Vijayawada	83	543	6.582	94.8		4.460 ⁷		551	n.a.	170'
IHAR ¹ / Patna Dhanbad Jamshedpur Ranchi	3.199 109 204 147 182	8.719 919 678 670 503	2.726 8.463 3.325 4.568 2.837	83.4 87.8 98.2 97.3 92.8	51	7.879 2.996 13.440 18.266	6.766 2.115 2.862 3.070	859 706 213 168	28.6 74.2 37.4 24.3	51 120 164 77
GUJARAT ¹⁾ Ahmadabad Arat Vizatoooo	4.765 222 95 114	10.602 2.548 914	2.225 11.471 9.619 6.513	91.5 99.2 97.8	129	9.356 7.657 7.794	4.165 2.493 2.558	445 326 328	73.1 48.2 40 7	129 372 143 234
HARYANA 1) HARYANA 1)	764	2.827	3.702	90.4 a2	166 63	2				166 63
JAMMU & KASHMIR ¹) Srinagar	587 587 180 ⁺)	1.260	2.146 3.367	93.6 93.6	108 357 ⁺)	8.981	2.136	238	49.4	108 139
KARNATAKA ¹⁾ Bangalore Hubli-Dharwad	3.683 366 191	10.730 2.922 527	2.913 7.991 2.761	84.2 98.2 86.1	126	8.005 13.738	4.948 2.945	618 214	67.5 30.5	126 231 106
KERALA ¹) Cochin Calicut Trivandrum	1.788 189 138 94	4.771 686 546 520	2.668 3.633 3.947 6.076	89.3 96.8 94.7 92.8	126	2.408 2.345 2.192	2.535 2.245 2.596	1.053 957 1.184	71.3 79.8 57.9	126 207 138 119
MADHYA PRADESH ¹) Indore Jadalour	4.878 114 231	10.586 829 757	2.170 7.306 3.283	86.3 97.3 96.2	62	10.918 10.160	2.205 2.199	202 216	48.3	62 130 85
÷	285 303	671 [.] 556	2.355	95.6 90.9		10.143	1.678	165 212	49.0 53.3	151 122
MAHARASHTRA ¹⁾ Bombay	5.888 603	21.994 8.243	3.735 13.671	92.0 99.8	163 1.138		13.081	756	80.9	163 777
rune Nagpur U <u>I</u> shanagar	237 237 46+)	1.000 1.302 649	4.839 5.495 14.247	97.4 99.2		13.042 9.931 see: I	4.104 2.589 Bombay M.R.	261	52.9 52.9	110
MANIPUR ¹⁾	152	375	2.478	62.9	20					20
MEGHALAYA ¹⁾	85	241	2.846	94.4	66					99
NAGALAND ¹⁾	109	120	1.105	93.0	20					50
	2.288	4.648	3.875	89.2	6 <i>1</i> 220					220
Ludhiana Amritsar	110 115	607 595	5.175	96.1 95.3		5.087	1.819 2.188	412	46.3	192
RAJASTHAN ¹⁾ Jaipur Jodhpur	4.497 210 79	7.211 1.015 506	1.603 4.831 6.445	97.5 97.5	72	14.068 22.850	3.421 1.668	243 73	47.1 36.2	72 119 57
	n.a.	51	n.a.	98.3	29					29
TAMIL NADU ¹⁾ Madras	5.861 572	15.952 4.289	2.722	88.4 97.4	131 656	8.033	6.893	858	67.1	131 461
Coimbatore Madurai Tiruchirapalli	291 112 145	920 908 610	3.166 8.108 4.204	90.7 95.2 93.2		7.469 12.624 11.095	3.060 4.536 3.612	410 359 326	34.7 29.9	202 109 112
Salem Therpring 1)	98 54	519 226	5.301 4 146	93.2 93.5	7 E	8.650	3.442	398	38.8	99 34
UTTAR, PRADESH ¹)	4.560	19.899	4.363	85.0	72					72
	299 146	1.639 1.008	5.482 6.907	96.8 97.7		6.176 2.528	3.742	606 797	49.7 55.3	118 260
Varanasi Agra	104 82	797 747	7.675 9.089	96.8 98.3		5.091 4.805	3.701 2.853	727 594	47.8 51.4	85 93
Allahabad Meerut	82 81	650 537	7.910 6.640	96.0 95.8		7.261 3.911	3.797 2.767	523 708	30.9 44.3	65 128
WEST BENGAL ¹⁾ Calcutta	2.646 852	14.447 9.194	5.460 10.788	95.7 98.7	103 942	22.783	23.533	1.033	62.9	103_{427}^{103} +)
11										

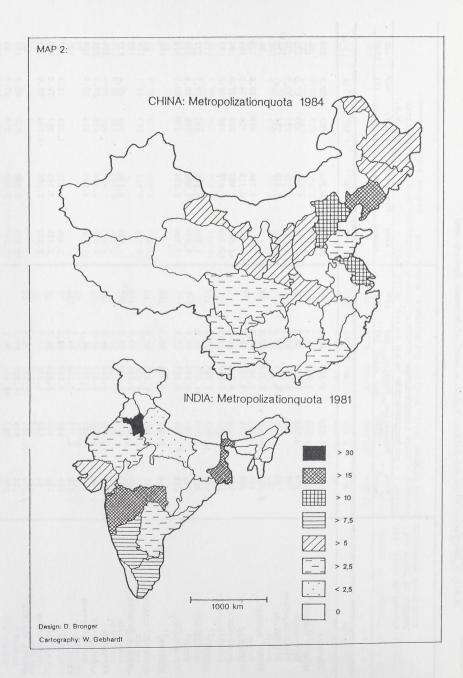
n.a.: figures not available +) approx. figure

Sources: CENSUS OF INDIA 1981, Series 1 - India. Final Population Totals, Delhi 1983; CENSUS OF INDIA 1981, Series 1 - India Primary Census Abstract. General Population, Delhi 1983; CENTRE FOR MONITORING INDIAN ECONOMY (Ed.), Profiles for Districts, Bombay 1984. - (author's Calculations).

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2.2.2 Metropolization: Urbanization - Ratio

Regarding the *present* picture, number and population of cities resp. urban agglomeration/towns according to size class are as follows:

Tab.4: Metropolization/Urbanization Quota in China (1984) (24) and India (1981)

(MQ = Metrpolization	Quota;	UQ =	Urbanization	Quota)	
----------------------	--------	------	--------------	--------	--

	1113	CHINA	A		INDIA	000,0
Size class	No.	Population* (000)	M/UQ %	No.	Population (000)	M/UQ %
>1 Mill.	20	44,470	4.3	11	42,612	6.2
>500,000	30	22,130	2.1	30	19,829	2.9
>100,000	176	39,120	3.8	175	31,852	4.6
TOTAL	226	105,720	10.2	216	94,293	13.7
> 50,000	and set		00 804 00	270	18,192**	2.8
> 20,000	69	4,650***	0.5	739	22,414**	3.4
< 20,000				2020	21,290**	3.2
TOTAL	295	111,370***	10.7	3245	156,189	23.7

* Non-agricultural population

** Excludes Jammu & Kashmir and Assam

*** Compare: Orleans/Burnham 1984, Tab.2 and 5.

Sources: India: See Tab.3

China: Statistical Yearbook 1985, p.189 (author's calculations)

In general, the data reveal a similar picture, although the metropolitan resp. urban quota is (of > 100,000 inhabitants) almost 50% resp. 27% higher in India.

Detailed data of the urbanization process with regard to size class of towns, valid for a long-duration period exists only for India.

and the second	Perc	entage of 1	otal urban	population	
Size class	1901	1921	1941	1961	1981
> 1 Mill.	5.8	11.2	12.1	23.0	27.3
>100,000	19.9	18.2	25.8	27.8	33.1
> 50,000	11.3	10.4	11.4	11.0	11.6
> 20,000	15.8	16.1	16.6	17.4	14.4
< 20,000	47.2	44.1	34.1	20.8	13.6
TOTAL	100.0	100.0	100.0	100.0	100.0

Tab.5: Urbanization Ratio 1901-1981: India - Per Cent Distribution of Total Urban Population by Size Class of Towns

Source: Census of India 1901-1081 (author's calculations).

The compiled data (Tab.5) (25) demonstrate the rapid increase of the metropolitan population's share of the urban population as a whole: in comparison with the pyramid of 1901 the composition of 1981 shows an almost reversed proportion. Taking into account only the population living in communities of 20,000 and more as "urban" (as this seems more sensible) the metropolitan share would increase even to 31.6%.

2.2.3 Growth of metropolitan cities

Since one has to be very cautious with regard to the population figures of the Chinese metropolises before 1953, in our brief analysis we will concentrate on the development of the last three decades. To begin with, from the compiled data (Tab.6 and 7) we can again gather a number of common characteristics. First of all there is a pronounced heterogeneous fabric of metropolitan growth in both countries. On one side we find several cities with a comparatively low growth rate (Calcutta, Lucknow; Shenyang, Dalian, Fushun etc.), in China additionally three metropolises even below the nation average, topped by Shanghai.(26)

With a few exceptions this seems unique in the Third World, at least if one excludes the temporary dwellers in the metropilises. On the other side various metropolises show a decided dynamics even in comparison to the fast growing metropolitan cities of the Far East like Bangkok, Djakarta, Manila and Seoul (27): Delhi, Bangalore and Jaipur in India; in China the interior provincial capitals of Xi'an and Chengdu topped by Lanzhou with an equally extraordinary growth as Bangalore, the number one in India.

All in all, however, the differences predominate. First of all the growth of the larger metropolises is considerably slower in China, especially with regard to the metropolises along the coastal areas, surprisingly even the capital of Beijing shows a more moderate growth.(28) As indicated by a number of authors (29) this fact manifests that the rapid growth of the metropolitan cities all over China, due mainly to heavy immigration, up to 1958 has considerably slowed down in the majority of cities, that means that the official policy appeared successfull in its efforts to contain the growth of the very largest cities (Shanghai, Beijing, Tianjin, Shenyang, Guanzhou, Chongqing) substantially and "to redirect the main focus on urban development to newer cities of the interior".(30) This unquestionable success of "urban (metropolitan) decentralization" (31) should not be overinterpreted because to some extent it seems double-edged. A more detailed view reveals firstly, that more than half the number of Chinese metropolises show a still noticeable increase of 30% and more above national average. Secondly, quite some coastal metropolises manifest a recovering metropolitan growth since the second half of the seventies. Taking Shanghai as an example because the most detailed though not indisputed (32) figures are available here: After an impetious growth up to 1960 the city's growth rates have not only been reduced drastically since 1960 but decreased by almost one million from 1965 to 1977.(33) However, it increased again by 1.4 mill. from 1977 to 1984 (from 5.470 to 6.881 mill.) mainly caused by a net immigration of 138,200 on an average per year for the period of 1978-1980.(34) Thirdly, this spatial re-direction had to be paid for, may be even dearly, by a pronounced primacy of the rapid growing metropolitan capitals of the interior like Lanzhou etc. causing a remarkable metropolitan-rural development incline within these provinces, with which we will deal in the next chapter. - To sum up: Despite some success in limiting the metropolitan growth especially in China, this struggle still remains a major challenge for both countries.

3. The Functional Dimension

3.1 The Primacy: Main Characteristics

In our introductory chapter we stressed the functional primacy or, in short, the *primacy* as the vital component of our concept of metropolization. Primacy itself is characterized by two main features (35):

- Over-centralization or, more correctly, over-concentration of the main functions - here defined as *primacy indices* - in almost every sphere of life; and - what is of specific importance -
- (ii) the concentration of population which is already particularly high (metropolization quota = MQ) is by far surpassed by the figures of the indices for every other sector, i.e. the economic (except, of course, the primary sector), social, cultural, political and admini-

1984
1
1900
IN CHINA 19
IN
CITIES
METROPOLITAN CITIES
OF M
TON GROWTH
POPULATION
:9

TAB.

. no figure available

1934; (8 7) 1927; 1936; 1913; 2) 1922; 3) "Xian administrative area; 4) 1926: Dairen only; 5) 1929; 6)
 19357; 10) 1928; 11) 1946; 12) 1947;

Sources: 1900 : MURPHEY, 1953 (Shanghai); CHANG, 1965 (Beijing). 1920 : Chinese Yearbook 1923; TREWARTHA, 1951

1930 : Chinese Yearbook 1943; TREWARTHA, 1951
1938 : ULLMAN, 1961

1948 : ULLMAN, 1961; TREWARTHA, 1951 1953 : SHIGER, 1953

SHIGER, 1953

1958 : ULIMAN, 1961; CHEN, 1966 1970 : CHEN, 1973 1984 : Statistical Yearbook of China - 1985

No Metropolis	1901	1911	1921	1931	1941	1951	1961	1971	1981	Growth 1901-81 INDIA=100	Srowth Growth 1901-81 1951-81 INDIA=100 INDIA=100
1 Calcutta ¹⁾	1.488	1.718	1.851	2.106	3.578	4.589	5.737	7.031	9.194	276	111
2 Bombay ²⁾	928	1.139	1.380	1.398	1.801	2.994	4.152	5.971	8.243	421	195
3 Delhi ³⁾ .	406	414	488	636	918	1.744	2.659	4.066	.6.220	764	285
4 Madras ¹⁾	594	604	628	775	930	1.542	1.945	3.170	4.289	332	198
5 Bangalore ¹⁾	159	189	237	306	407	779	1.200	1.654	2.922	927	349
6 Ahmadabad ¹)	186	217	274	314	595	877	1.206	1.742	2.548	678	212
7 Hyderabad ¹⁾	448	502	406	467	739	1.128	1.249	1.796	2.546	250	140
8 Pune ¹⁾	164	173	199	250	324	606	791	1.135	1.686	495	198
9 Kanpur ¹⁾	203	179	216	244	487	705	971	1.275	1.639	377	147
10 Nagpur ¹⁾	167	119	165	242	329	485	690	930	1.302	363	187
11 Jaipur ¹⁾	160	137	120	144	176	291	410	637	1.015	285	277
12 Lucknow ¹⁾	256	252	241	275	387	497	656	826	1,008	157	114

POPULATION GROWTH OF METROPOLITAN CITIES IN INDIA 1901 - 1981

TAB. 7:

 Urban Agglomeration 2) Greater Bombay 3) Union Territory Sorces: CENSUS OF INDIA 1901 - 1981

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15

strative sectors. We shall call this relationship between the pertinent primacy index (PI) and the demographic primacy index (MQ) the *Primacy Ratio* (PR); so PR = PI/MQ. In other words: The axiom PI>MQ or PR>1 must be considered the crucial attribute of metropolitan primacy.

To determine the phenomenon of "metropolization as a development problem" it is of essential importance from the development-policy aspect that the over-concentration of every major function of life has again occured - in their vast-majority - principally in the metropolises (including the capitals) of Third World countries with strictly centralist governments - just as in the majority of the European nations up to the 20th century and in the communist bloc up to the present day.

In this connection an opinion must be given on a line of argumentation which is often stressed into this discussion: The often quoted argument that a substantial number of metropolises within the western world (e.g. Paris, London) show the same pronounced primacy is true essentially only regarding the demographic aspect, at best to some of the cited functions but never (36) to this extent and totality (Tab.8).

In the literature concerned, "primacy" is simply treated as equal to the so called "primacy index" as defined by JEFFERSON (1939, p.226ff.). The "primacy index" is arrived at by computing the ratio of the population of the largest city with the next ranking or, in a system, with the combined population of a specified number of cities next in rank below the largest city.

Regarding China we can refer to CHANG (1976, p.402f.), PANNELL (1981) etc.; for India BHATTACHARYA (1976), RAZA et al. (1981) can be named in this context. In short: the whole spectrum of the term "primacy" is reduced to one particular demographic aspect.(37)

3.2 Metropolitan Primacy in China and India: Basic Results

As far as the "real" primacy of the metropolitan cities in *China* is concerned comparatively detailed information on all cities is included in the data recently released by the Chinese government.(38) Together with the data published yearly in the "Statistical Yearbook" we get the most comprehensive data set in comparison not only with regard to India but to all countries of the Third World. The most relevant primacy indices for the 20 metropolises are compiled in Tab.9. Although not complete, this table contains data of almost all important dimensions: Population (I), Agriculture (II), Industry (III), Services (IV), Transport & Communication (V), Education & Health (VI), Investment (VII) and Public Finance TAB. 8: PRIMACY OF LARGE METROPOLITAN CITIES: BOMBAY - SHANGHAI - PARIS

			BOMBA			SHANGH	AI			PARIS
No.	Indicator	Year	GB ¹⁾	B.M.R. ²⁾	Year	Shiqu	Quanshi	Year	A.U.;	P. ³⁾ R.P. ⁴⁾
	State/Province	17.01	Mahara	ashtra	Jiang	gsu (+ Sha	anghai)	0.102.0	tere in s	France
	Area (000 qkm)	1981	308		1984	108		1982	547	
	Population (Mill.)	1981	62.8		1984	73.8		1982	54.4	
			nal me	w	NO. 1 MELO		0.01	1.1.2921		eller, eller internet
	I DEMOGRAPHIC PRIMACY	0 2010 0 0 20 0								
1	Area (sqkm)	1981	603	4.350	1984	340	6.186	1982	1.000	12.012
2	% of total area	1981	0.2	1.41	1984	0,3	5,73	1982	0,2	2,20
3	Population	1981	8.243	10.724	1984	6.881 :	12.048	1982	7.156	10.057
4	% of total population	1981	13,1	17,1	1984	9,3	16,3	1982	13,1	18,5
	II FUNCTIONAL PRIMACY				COLOR DA			t need b		
5	Net Domestic Product (%) ^{5), 6)} NDP/Capita - Metropolis:	1980	33,2	38,7	1984		38,6	1981		26,8
	remaining areas	1980	7:1	4,7:1	1984	6,6:1	3,2:1	1981		ca. 3:1-,
7	Income Tax (%)	1984	88,8		00.1 80.1			1981		39,37)
8	Industry: Employment (%)	1984 1983 1983 1983	45,8	56,7				1982		22,0
9	" : Gross Output Value (%)	1983	47,0	58,4	1984	39,30	52,3	1982		ca. 25,0
10	Cargo handled by Ports (%)	1981	21,0		1981	39,3 ₉₎ 17,3 ⁹⁾		in the second	1.es - 14	
11	No. of Telephones (%)	1984	78,7		1984	41,3	51,0	1983		19,9
12	University & College Students (%)	1982	40,3	40,3	1984	43,4	48,4	1974		30,8
13	Hospital beds (%)	1980	32,8	37,3	1984	19,7	29,9	1974		16,7
14	No. of Doctors (%)				1984	31,8	39,4	1982		22,0
15	TV-sets (%)	1980	82,3	91,3				1983		19,6
16	Bed capacities of 3-, 4- & 5-star					10				
	hotels (%)	1983	87,4	89,2	1985	> 50 ¹⁰ > 70 ¹⁰		1984	16,3	
17	- de luxe category (5-star)	1983	87,4	87,4	1985	> 70 ¹⁰)	1984	44,1	

Greater Bombay; 2) Bombay Metropolitan Region; 3) Agglomération urbaine Parisienne; 4) Région Parisienne;
 see Tab. 2 & 3, col. 7 & 13; 6) Explanations: see Note 47; 7) Total taxes; 8) Large & Medium Scale Industry only;
 Export only; 10) Estimated figure

- s, Export onry, io, Estimated righte
- Sources: BOMBAY Tab. 3 & 11 + GOVERNMENT OF MAHARASHTRA (Ed.), Statistical Abstract of Maharashtra State for the year 1979-80, Nagpur 1984; MIDC, Bombay (Ed.) Unpublished Records; INDIAN POSTS & TELEGRAPHS DEPARTMENT, MINISTRY OF COMMUNICATION (Ed.), Annual Report 1983-84, Delhi 1985.

SHANGHAI - Tab. 2 & 11.

PARIS - INSEE (Ed.), Tableaux Economique de L'Ile-de-France 1984, Paris 1984; CONSEIL REGIONAL d'Ile-de-France (Ed.), Panorama d 'Ile-de-France, Paris 1984; PLETSCH, A. (1981); Les Cahiers de l'Institut d'Amanagement et d'Urbanisme de la Region d'Ile-de-France, No 68-Juin 1983, Paris; SERVICES DE TOURISME MICHELIN (Ed.), Michelin France 1984, Paris.

(author's calculations)

TAB. **1:** FUNCTIONAL PRIMACY OF METROPOLITAN CITIES IN CHINA I: national level (Figures in %)

No.	INDICATOR	S=Shiqu q=quanshi	Shanghai	Beijing	Tianjin	Shenyang	Wuhan	Guangzhou	Chongqing	Harbin	Chengdu	X'ian	Nanjing	Taiyuan	Changchun	Dalian	Lanzhou	Jinan	Kunming	Anshan	Qingdao	Fushun	Primacy Index (4-6)	Primacy Index (4-23)	Primacy Ratio (4-6)	Primacy Ratio (4-23)	Primacy Ratio (7-23)
1	2	3	4	5	6	7	8	9	10	11	12	13	1.4	15	16	17	18	19	20	21	22	23	24	25	26	27	28
I	POPULATION 1 - Population: city proper (shiqu) " : " & coun- ties (quanshi)							0.32			1										1		1.74		11-04 11-04 1170 1170 (935)	E tota	, ON
II	ECONOMY: AGRICULTURE 2 - Gross agricultural output value ECONOMY: INDUSTRY 3 - Gross industrial output value	q s	1.43 7.97	1.01 3.75	0.89	0.35	0.48 1.84		0.94	0.18	0.84	0.42	0.45	0.16	0.71	0.57	0.10	0.36	0.22 0.54	0.30	0.85	0.14	0.64 3.33 15.21 18.18	11.22 32.75	1.17	0.46 0.99 6.26 3.38	0.93
	4 Light industry	q : 1	1.96	3.54	4.08	1.34	1.86	2.47	1.55	1.06		0.96	1.05	0.43	0.59	1.07	0.36	0.85	0.57	0.33	1.51	0.24	15.98 19.58	36.93	6.89	5.87 3.27	2.05
	5 Heavy industry 6 Metallurgical industry	P	9.24	4.46	3.08	2.37	2.06	1.34	1.74	1.10	1.12	0.94	1.71	1.11	0.94	1.54	1.17	0.71	0.71	1.61	0.91	1.31	14.46 16.78 22.90	39.37	5.91	6.65 3.48 4.78	2.67
	7 Chemical industry				1	2.04					1.82												22.90		0.000	3.93	
	8 Machine building industry	1.191				3.60		2.59															22.51			4.20	
	9 Textile industry					0.73					0.78												22.30		4	3.27	
	10 Clothing industry					2.02		2.23															24.56			4.06	
	11 Paper & educational articles	q 1	2.92	6.70	4.59	1.60	1.62	2.84				0.79	0.89	0.43	0.71	0.51	0.28	1.27	0.45	0.18	1.09	0.26	24.21	41.12	8.52	3.64	2.00
	ECONOMY: SERVICES 12 - Total value of retail sales	S	2.84	2.40	1.41	1.07	0.90	1.37	0.60	0.77	0.55	0.57	0.61	0.39	0.51	0.48	0.38	0.42	0.38	0.30	0.39	0.28		16.62		3.18 2.02	
III	TRANSPORTATION & COMMUNICATION 13 - Cargo handled at principal seap 14 - Tourists: Foreigners 15 - Telephones	S 1 S	6.61 4.67	20.58		0.55	_ 1.44	5.43 17.62	_ 1.17		-	- 5.48	3.60	-0.18		13.07 0.97	-		-		8.06	-			21.84 22.31 6.25 4.25		
IV	EDUCATION AND HEALTH 16 - Students enrolled in institutions of higher learning		5.78 6.44	7.21	2.84	2.48	5.03	3.01						1.70									15.83 16.66			9.94 4.71	
	17 - Hospital beds	Р	2.46	1.60	1,10	0.87	1.03	0.68			0.58					0.36 0.75								13.14 17.99		2.51 1.59	
	18 - Professional medical personal	P	3.06	2.67	1.78	1.16	1.37	1.38		0.91	1.28	0.97	0.86	0.66 0.69	0.85	0.45	0.52	0.67	0.68	0.50	0.68	0.38	7.51	18.23 22.69	3.71 2.64	2.01	3.38 1.79
v	19 - doctors INVESTMENT	S q	2.83	2.78	1.62	0.97	1.10	1.17	0.77 1.52	0.86 0.95	0.85			0.68		0.42					0.46 0.67			19.03 23.38	4.16 2.95		3.38
V	20 - Investment in capital constr.	P	6.38	4.68	2.96	1.07	1.03	2.25	1.02	0.84	0.86	0.95	1.21	1.21	0.64	0.90	0.72	0.37	0.63	0.26	0.29	0.35	12.07 14.02	28.64	4.94	4.85	1.73
VI	21 - Foreign capital investedPUBLIC FINANCE22 - Financial revenue	S	9.86	2.89	2.61	1.14	0.94	9.88 1.37 1.48	0.70	0.47	0.56	0.32	0.75	0.00 0.40 0.41	0.33	0.31	0.28	0.46	0.40	0.73	0.81	0.04	18.47 15.36 16.77	25.37	6.50 8.83 5.90	2.88 4.85 2.47	2.87

Sources: see TAB 2 (author's calculations).

(VIII). All in all these 8 dimensions are subdivided into 22 single indicators most of them separated according to the *shiqu* and *quanshi* figures (col.3). The data are proportionately computed according to the *national* level. - Their interpretation will be summarized into the following main topics (BRONGER 1984, p.145):

- (i) First and foremost the eminent primacy ratio with respect to the three large metropolises in particular (Shanghai - Beijing - Tianjin, Tab.9, cols.24 & 26) and less but still pronounced regarding the remaining 17 metropolises (col.28) reveals an up to the present strong primacy of these metropolitan cities.
- (ii) The primacy is particularly pronounced, as could be expected, in the secondary sector, even outstanding in certain single industrial branches. This is expecially relevant for important consumer goods regarding our three major metropolitan cities as indicated in Tab.10:

Product	Shanghai	Beijing	Tianjin	Primacy Index	Primacy Ratio
Sewing machines	31.5	4.8	10.0	46.3	16.3
Bicycles	19.6	0.2	18.3	38.1	13.4
Wrist watches	29.0	3.6	9.8	42.4	14.9
Chemical fibres	24.0	5.8	7.1	36.9	13.0
Cloth	11.1	2.0	3.3	16.4	5.8
Woollen piece goods	18.9	7.9	6.0	32.8	11.5
Leather shoes	9.7	4.7	6.7	21.1	7.4
Washing machines	10.6	8.9	1.8	21.3	7.5
Radio sets	24.2	3.5	1.7	29.4	10.4
TV sets	22.2	5.9	6.1	34.2	12.0
Cameras	35.2	4.2	7.4	46.8	16.5
Motor vehicles	2.9	11.3	3.2	17.4	6.1
Tractors**	21.7	that the lo	20.6	42.3	14.9

Tab.10:	Proportion	of Output	of Major	Industrial	Products of	of Metropoli-
	tan Cities*	' in China	- 1984			

Provincial level

** 20 horse power and over

Source: Statistical Yearbook of China - 1985, p.350ff. (author's calculations).

Compared with this, a more surprising feature is the still high concentration in the educational sector particularly with regard to the university and college level: more than 50% of the country's total enrollment (no.16, col.25) resulting a primacy ratio of almost 10% !

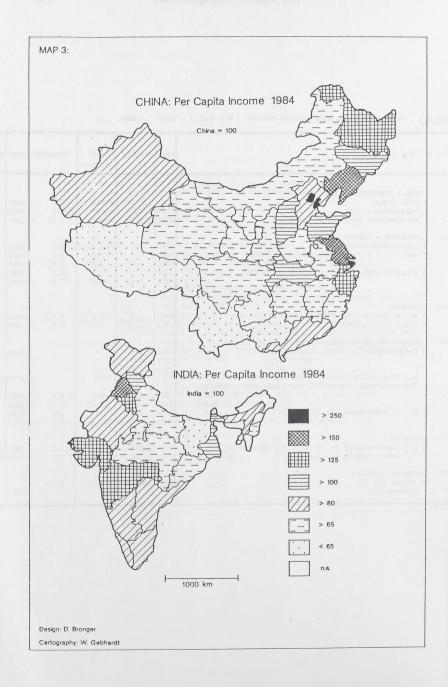
- (iii) The historically grown core regions including the North-Eastern Region (former Manchuria) - out of our 20 only 3 relatively minor ones (Taiyuan, Lanzhou and Kunming) - still show a heavy regional concentration of metropolitan primacy. This reveals that the governmental policy of deconcentration is to be viewed as only partly successful.
- (iv) This seemingly far-reaching statement is supported by the up to now outstanding primacy of Shanghai compared to all other metropolitan cities. It exceeds the next ranking metropolis, the capital city of Beijing, in 40 out of the 46 single indicators (Tab.9 & 10). In 9 cases the primacy index amounts to more than double, in 5 more than triple and in even 9 indicators it surpasses the capital city by more than 5-times! All in all, Shanghai has to be considered as the absolute economic center of the subcontinent: its GNP/capita (of Shanghai province!) exceeds the national average by 6-times (39) in 1980, (according to our calculations by 5.2-times in 1984 Tab.2, col.13) a high factor when compared to Manila (3:1), Bangkok (3:1) and Seoul (2:1) (40), the three most outstanding primate cities in the Far East! (41)

In most aspects these statements find their confirmation when compared to the large I n d i a n metropolitan cities (Tab.11).(42) Like in China we can find a functional partitioning here too: Analogous to Shanghai, Bombay represents the outstanding economic center of the subcontinent (see: Indicator No.8,9,10,11 & 13) whereas Delhi's role as the capital is illustrated inter alia by the fast growing number of high-standard hotels.

Based on the per capita income as an important or at least a widely used indicator of economic development China and India show an almost equal extent of *regional* variation of development (Map 3): India with a ratio of 3.14 : 1 (Punjab : Bihar), China with an even higher 3.38 : 1 ratio (Liaoning : Guizhou). It should be noted that this variation is already quite high when compared to the industrialized nations. In the USA this ratio amounts to 1.9 : 1 and in West Germany even only to 1.3 : 1 (43) - apart from the fact that the level of economic development is far higher in these countries.

TAB.44: PRIMACY OF LARGE METROPOLITAN CITIES (> 5 Mill.) - INDIA : CHINA

Indicator	year	Bombay	Delhi	Calcutta	year	Shanghai	Beijing	Tianjin	1) total shiqu
I AREA & POPULATION 1 Area (Sqkm) 2 Population ('000) 3 Metropolitan Quota (% of total)	1981 1981 1981	8.243	1.483 6.220 0,91	852 9.194 1,34	1984 1984 1984	³⁴⁰ 1) 6.881 ¹) 0,65 ²)	2.738 5.755 ¹⁾ 0,48 ²⁾	4.276 5.312 0,40 ²)	 2) relates to "Non-agricultural population" (see: TAB. 2) 3) Students enrolled in "institutions of higher learning" 4) Large & Medium Scale Industry only. Approximate figures (for Calcutta) 5) Foreign Trade only 6) see explanations in: HANDKE, 1986: 17 f. 7) including Domestic airport traffic
<pre>II EDUCATION & HEALTH 4 Literacy rate (%) 5 University & College Students: No. ('000)</pre>	1981 1981 1981 1984 1984	134 4,88 27.2	62,7 73 2,65 13.1 2,60	65,5 139 5,05 37.7 7,49	1982 1984 1984 1984 1984	81 5,78 35.1	92,6 101 7,21 ³) 30.9 1,43	91,5 40 2,84 3) 20.3 0,94	Sources: INDIA CENSUS OF INDIA 1981, Series 1 - India. Primary Census Abstract. General Population, Delhi 1983; TATA SERVICES LIMITED, DEPARIMENT OF ECONOMICS & STATISTICS (Ed.) Statistical Outline of India 1986-87, Bombay 1986; GOVERNMENT OF INDIA, MINISTRY OF INFORMATION AND BROADCASTING (Ed.) India. A Reference Annual 1982,
III INDUSTRY 7 No. of Workers: % of total 8 Value of Output: IV TRANSPORTATION & COMMUNICATION	1982 1982		1,6 ⁴⁾ 1,97 ⁴⁾	7,2 ⁴⁾ 5,51 ⁴⁾	1981 1985		2,9 3,75	2,6 3,49	New Delhi 1982; GOVERNMENT OF INDIA, MINISTRY OF PLANNING (Ed.) Annual Survey of Industries 1981-82, New Delhi 1985; ESCAP, et al (Ed.), City Monographs: Bombay, Yokohama 1982; Hotel and Restaurant Guide India 1983, New Delhi 1983;
IV TRANSPORTATION & COMMUNICATION 9 Cargo handled by Ports: Export (%, 5) : Import (%, 5) : Total (%)	1981 1981		-		1981	17,31 6) 6)	-		CENTRAL BOARD OF DIRECT TAXES (Ed.), Unpublished Records, New Delhi 1986.
Total Trade : Total (Mill. t) 10 International Airport Traffic - Passengers handled:		7.597 47,88 605	- 4.867 30,67 387 10,4	1.859 11,72 284 7,6	1984 1984 1984 1984 1984	100.66 620 ⁷⁾ 10,40 ⁷⁾ 130	- 1.090 ⁷⁾ 18,29 ⁷⁾ 123 4,4	16.11 10 ⁷⁾ 0,17 7) 49 1,8	CHINA: STATE STATISTICAL BUREAU, PRC (Ed.),Statistical ýearbook of China 1985, Hongkong 1985; STATE STATISTICAL BUREAU, PRC (Ed.), China. Urban Statistics 1985, Hongkong 1985; Shanghai Tongji Nianjian 1986; Shanghai 1986; HANDKE, W., Shanghai. Eine Weltstadt öffnet sich, Hamburg 1986.
V TOURISM 12 Bed capacities of 3-, 4- & 5-star hotels: No. - luxury hotels (5-star) only : No.		7.575	9.811 4.403	2.112		5.659 1.241			
VI ECONOMY: General 13 Income Tax (%) Revenue (%)	1984	25,63	8,29	9,55	1984	9,86	2,89	2,61	



These results, however, veil significantly the urban-rural development incline, especially between the larger metropolitan cities and the remaining predominantly rural and thus agriculturally structured regions. This aspect leads us to the second spatial component of the phenomenon "metropolization". So far the metropolization resp. the primacy of the metropolitan cities in China and India have been discussed within the national context. Such reflections, however, overlooks the fact that India and China are each, not only a country resp. a state politically but also a continent with 16% resp. 22% of the world's population, i.e. more than the next ranking four (USSR, USA, Indonesia, Brazil) resp. seven (& Japan, Bangla Desh, Pakistan) states combined. As the primacy of London or Paris, Bangkok or Manila is never discussed in relation to Europe resp. SE-Asia we have to examine the primacy of the Chinese and Indian metropolitan cities also within their regional context which corresponds demographically already to the largest states: the federal state of Uttar Pradesh in India would rank equal to Japan on 7th place and the province of Sichuan on 8th rank among all countries of the world. Five Chinese (44) and three Indian (45) provinces resp. states would exceed all European as well as SE-Asian states.

A number of relevant primacy indices for Chinese metropolises are therefore computed according to the provincial level (Tab.12). The analysis reveals at least two kinds of results:

- Firstly: The primacy of the Chinese metropolitan cities within their province is to be considered as highly pronounced with almost no exception. In computing the primacy ratio it even comes close to the primacy indices of the three before mentioned outstanding primate cities in respect of most of the sectors - a certainly surprising result despite the limitation and also superficiality of such a brief comparison.
- <u>Secondly</u>: Particularly the fact that we find a specific clear-cut primacy (Lanzhou!) in the newly developed "interior" regions (Shanxi, Shaanxi, Yunnan and expecially Gansu) discloses at the same time a pronounced metropolitan-rural development incline *within* the regions of China.

Although such detailed data are not available for India we can observe the same phenomenon for Indian metropolitan cities too. Based on approximate per capita income figures (46) the following compilation gives at least an idea of the striking difference regarding the economic development between the larger metropolitan cities and "their" surrounding region - in comparison with the metropolitan cities of the United States (cf. Tab.12).

TAB. 12 :	FUNCTIONAL	PRIMACY	OF	METROPOLITAN	CITIES	IN	CHINA	II:	
	Provincial	Level							

N	Province/	Population (000)	M.Q. ¹⁾	Groß Agri- cultural Output Val.	Groß Indu- strial Out- put Value	Total Value of Retail Sales	Students enr.i.Inst. of Higher	Hospital Beds	Total Doctors	Investment in Capital Construc- tion
1	2	3	4	5	6	7	8	9	10	11
1	Jiangsu - SHANGHAI - NANJING	73.760 6.726 1.865	11.65 9.12 2.53	0.71	45.49 39.36 6.13	30.78 25.33 5.45	70.10 43.43 26.67	24.79 19.63 5.16	40.17 31.76 8.41	58.16 46.95 11.21
2	Hebei - BEIJING - TIANJIN	72.330 4.983 4.124	12.59 6.89 5.70	8.67 3.41 5.26	61.83 32.03 29.80	38.76 24.43 14.33	73.03 52.41 20.62	32.42 19.56 12.86	43.15 27.26 15.89	62.88 36.94 25.94
3	Liaoning - SHENYANG - DALIAN - ANSHAN - FUSHUN	36.550 3.173 1.334 1.089 1.077	18.26 8.68 3.65 2.98 2.95	14.54 9.33 3.24 1.13 0.84	54.61 22.67 12.21 10.77 8.96	38.08 19.12 8.61 5.43 4.92	82.81 43.81 32.76 3.21 3.03	31.47 14.68 6.08 5.52 5.19	41.56 20.58 8.86 7.24 4.88	46.04 20.82 14.23 4.82 6.17
4	Jilin - CHANGCHUN	22.840 1.425	6.24 6.24	3.14 3.14	26.17 26.17	16.50 16.50	69.74 69.74	13.14 13.14	22.63	31.33 31.33
5	Heilongjiang - HARBIN	32.950 2.217	6.73 6.73	2.02	21.37 21.37	18.01 18.01	59.22 59.22	16.64 16.64	21.31 21.31	14.61 14.61
6	Shanxi - TAIYUAN	26.000 1.356	5.22	4.13 4.13	31.29 31.29	15.65 15.65	65.99 65.99	18.77 18.77	19.59 19.59	25.69 25.69
7	Shandong - JINAN - QINGDAO	76.370 1.111 1.140	2.95 1.45 1.50	1.09 0.59 0.50	26.14 11.08 15.06	11.28 5.86 5.42	49.41 36.56 12.85	12.38 7.40 4.98	20.06 11.81 8.25	14.47 8.08 6.39
8	Hubei - WUHAN	48.760 2.899	5.95 5.95	2.32	35.99 35.99	19.07 19.07	80.69 80.69	16.68 16.68	21.68 21.68	26.97 26.97
9	Guangdong - GUANGZHOU	61.660 2.486	4.03 4.03	3.19 3.19	32.41 32.41	16.99 16.99	72.42 72.42	13.20 13.20	22.44 22.44	26.62 26.62
10	Sichuan - CHONGQING - CHENGDU	101.120 2.031 1.523	3.51 2.01 1.50	3.15 1.27 1.88	37.37 20.33 17.04	17.17 8.94 8.23	65.01 31.46 33.55	14.28 7.44 6.84	18.05 8.59 9.46	34.16 16.30 17.86
11	Shaanxi - XI'AN	29.660 1.686	5.68 5.68	4.66	40.94 40.94	25.64 25.64	78.41	20.41 20.41	24.07 24.07	36.31 36.31
12	Gansu - LANZHOU	20.160 1.145	5.68 5.68	3.59 3.59	50.73 50.73	27.87 27.87		25.42 25.42	25.35 25.35	36.87 36.87
13	Yunnan - KUNMING	33.620 1.080	3.21 3.21	2.90 2.90	36.03 36.03	17.49 17.49	84.26 84.26	15.88 15.88	20.31 20.31	25.51 25.51

1) shiqu: non-agricultural population; col. 4 - 11: Figures in %
 <u>Sources:</u> See TAB.2

The Role of Metropolization

As far as India is conerned the theorem of a positive correlation between the size of the metropolis and the extent of the (economic) development disparities apparently seems to be valid. The ratio between Bombay and the district with the lowest index value amounts to 24:1. The respective ratios for Calcutta, Madras, Hyderabad and Lucknow are 22:1, 19:1, 14:1 and 8:1 respectively. As far as China is concerned comparable complete figures are so far available only for two privinces -Jiangsu and Liaoning - both on top of the level of development (Map 3; Tab.2). At least the four (47) cited metropolitan cities similarly show a far above national as well as provincial economic development level topped by the largest metropolis Shanghai (see also: Tab.2, col.7). - In contrast to our Indian and Chinese metropolitan cities those of the USA present a completely different picture with an almost equal level of development especially regarding their concerned states (col.6). It seems we can deduce from these results another theorem i.e. a causal connection between the level of development of a certain country and the dimension of the primacy of the concerned metropolis(es).

4. Reflections for Future Research

Our results manifest that the economic primacy of the metropolises of our two subcontinental states, India and China, continues to be outstanding and unbroken up the the present - especially in comparison with the metropolises of the industrialized nations of the western world. In other words: It seems that the efforts of both governments towards the reduction of the regional disparities cannot be considered as successful yet.

This conclusion, however, is linked directly with the decisive question which in the last analysis has remained unsolved: What role does the metropolis play in a country's overall development process? In what way does it impede or encourage the development of the other regions of the country? Is HOSELITZ's paradigm of "generative" versus "parasitic" cities, i.e. of the development-promoting effect of the cities in the industrialized nations as compared with their parasitical nature in the Third World countries fully valid? To explain this by means of a concrete example: On the one hand, the brain drain not only from the surrounding areas but, as far as the large metropolises are concerned, from vast parts of the entire country undoubtedly deprives an important resource of development out of these regions with the parallel of a threatening socio-economic polarization between the metropolitan and the rural scene. On the other hand, the share of income tax of Bombay as the number-one economic center amounts to more than 25%, together with Calcutta and Delhi to almost 45% of entire India (Tab.11) - i.e. the development budget of the different governmental levels for the regions lagging behind is financed to a large degree by the funds produced by the metropolitan cities. From this point of view the surrounding backward regions are of parasitical nature and not the metropolitan cities.(48)

This means that the investigation of tax systems (including the legislation concerned) plus the investment policy should be a major objective of metropolitan research and thus of developing-country-research in the future.

Finally, these reflections lead us to the question of whether the emergence of a metropolis with its pronounced primacy is an inevitable but passing stadium in the process of development and, accordingly, depends upon the level of development so far achieved by a country. Was it possible for only one dominant city or metropolis - and accordingly a few in the subcontinental states - to evolve at that early stage of development (London, Paris and subsequently Berlin - up to 1945 - can be cited as historical parallels) and is this burden lessened only after a higher standard of development has been achieved?

Notes

- (1) North-America, Europe, Soviet-Union, Japan, Australia & New Zealand.
- (2) For the following see more in detail: BRONGER, 1985, p.71ff.
- (3) The arbitrary quality of each delimitation is unquestionable. There is also no readily apparent reason for our decision of > 1 mill. except that 1.000.000 is a convenient round number.
- (4) LILIENTHAL, 1962, p.5, cited in: BREESE, 1966, p.7.
- (5) For China and India: see Tab.6 & 7.
- (6) The budget of the central Indian government is only slightly higher than the budget of North-Rhine-Westfalia, a state within West Germany.
- (7) The aspect of planning has to be reserved for a special study. Regarding the example of Bombay see: BRONGER, 1986, p.48-95.
- (8) Regarding the concept of "comparative regional research" see: BRONGER, 1977, p.146-175.
- (9) For a detailed discussion of the following considerations see: BRONGER, 1985a, p.71-79 (for the present); BRONGER, 1985b, p.94-110 (for the past).
- (10) Except for the three cities of provincial status (Beijing, Tianjin, Shanghai) the city-wise population figures of the Census 1964 have never been published (see: AIRD, 1978).
- (11) In 1981, we number 2,127 xian (including 57 "banners") compare to 412 districts and approximately 4,500 *talukas* in India. On statistical average nearly 500,000 are allotted to one xian compare to ca. 150,000 to each *tahsil*.
- (12) The term "urban agglomeration" is also very vaguely defined. According to the latest Census it is defined as "the continuous urban spread consisting of a core town and its adjoining urban outgrowths which may be either urban in their own right or rural"

(CENSUS OF INDIA 1981 - Series 1 - India, Paper 2 of 1981, p.23).

- (13) "New China News Agency", Beijing, Sept.17, 1959; cited in: CHANG, 1965, p.319. These extensive territorial enlargements occured mainly in 1958 and 1959.
- (14) INDIA: An urban place has to fulfill the following three criteria (this distinction remained almost unchanged since 1951):
- minimum population of 5,000
- at least 75% of male working population is non-agricultural
 - density of population of at least 400 per sqkm (CENSUS OF INDIA, 1981).

CHINA:According to a State Council resolution passed in Nov.1955 urban areas (cities and towns) have to meet any one of the following three criteria:

- seat of a municipal people council or a people's council of the xian (county) level or above, regardless of population size
- minimum resident population of 2,000, at least 50% of which is engaged in non-agricultural work
 - places of 1,000 to 2,000 population of whom 75% were employed in non-agricultural work (see inter alia: CHEN, 1966, p.7).

The Census of 1982 adopted a new definition: "A town is a center of industry and commerce or handicrafts with a population of over 3,000, of which more than 70 percent are not involved in agriculture; or a place with a population of 2,500 to 3,000, of which 85 percent are non-agricultural people, which are under direct administration of county government." (Cited in: ORLEANS/ BURNHAM, 1984, p.797; see also AIRD, 1983, p.615.)

- (15) The shown area (Map 1) refers already to Delhi Union Territory, i.e. by far the largest area among all Indian metropolises (Tab.3, col.2). Computed to Beijing's municipal area, i.e. including the neighbouring districts of Gurgaon, Rohtak, Sonipat, Meerut and Ghaziabad (= 16,875 sqkm), Metropolitan Delhi's population would number to 13,869 million, i.e. exceed that of Beijing by 50% !

 The "Delhi National Capital Region" defined a total area of 32,500 sqkm with an approx. population of 19,5 million in 1981 (MISRA, 1981, p.239).
- (16) According to DAVIS, 1959.
- (17) This figure is also officially adopted as city population.
- (18) More in detail see: BRONGER, 1982, p.154.
- (19) Excluding the three provincial cities (see: note 10).
- (20) I.e. those with more than 50,000 sqkm resp. >5 million inhabitants.
- (21) Including Beijing and Tianjin; both are entirely sourrounded by Hebei province.
- (22) Including Shanghai which formerly belonged to Jiangsu province.
- (23) See the instructive survey of China's space-policy in: WHITNEY,

1970, p.26-72.

- (24) For Chinese urban figures of earlier times see: CHEN, 1973; SKINNER, 1978. For a critical assessment of the 1953 urban figures see further: ORLEANS, 1972, p.57ff.
- (25) Figures exclude Assam and Jammu & Kashmir.
- (26) A major reason for this surprising result, however, is the fact that the population figures of Shanghai from 1930-1953 relate to 893 sqkm, for 1958 to 1,756 sqkm, 1970 to 223 sqkm and 1984 to 340 sqkm (for details see BRONGER, 1985b, Tab.2 and the sources cited there).
- (27) The growth rates are as follows (counted as in Tab.6 & 7): Djakarta (1961-1980): 143 Bangkok (1947-1980): 156 Manila (1948-1980): 152 Seoul (1960-1980): 228
- (28) In contrast to: CHANG, 1974, p.1.
- (29) Fundamentally: TIEN, 1973; further: CHEN, 1973, p.66ff.;
 KÜCHLER, 1976, p.140ff.; CHANG, 1976, p.401f.; PANNELL,
 1981, p.3f.,101f.; BUCK, 1981, p.116f.; KWOK, 1981, p.148f.;
 FUNG, 1981, p.210f.
- (30) MA, 1981, p.112.
- (31) Especially see: BUCK, 1981, p.116; KÜCHLER, 1976, p.140f.
- (32) MURPHEY, 1980, p.48. Regarding Shanghai see the very detailed compilation in: BANNISTER, 1977, p.259-263 using more than 50 different sources for the period from 1941-1975!
- (33) ZUKANG, 1982, p.3.
- (34) ibid., p.5.
- (35) For the following see: BRONGER, 1985, p.87ff.
- (36) This statement is valid for the metropolitan cities of the I.C.s of Western and Northern Europe as well as North America only. Due to their highly centralized political system the metropolises (and capital cities) of the socialist countries show a comparable pronounced primacy as those of the D.C.s. Even Tokyo has achieved a similar dominance in Japan in the course of the present century (SCHÖLLER, 1976) and this has actually resulted in "serious disturbances in the equilibrium of the socio-economic structure" (ibid. p.97).
- (37) Unfortunately even up to the present "primacy" is used solely in the same, i.e. purely demographic meaning. See inter alia: LLOYD/DICKEN, 1978, pp.77ff.; HAGGETT, 1975, p.360.
- (38) See the sources cited in Tab.2.
- (39) ESCAP, 1982, p.113.
- (40) Approximate figures for 1980.
- (41) BRONGER, 1985, Tab.3.
- (42) Since the coverage of some primacy indices does in some respects vary considerably from country to country e.g. inconsistency of

the term "industry" etc. - such a comparative confrontation between metropolises of different countries is by no means unproblematic.

- (43) Excluding the city states of Hamburg, Bremen and Berlin.
- (44) Jiangsu, Shandong, Hebei, Guangdong, Sichuan.
- (45) Uttar Pradesh, Bihar, Maharashtra.
- (47) As overall economic data like NDP or per capita income doesn't exist on a district (INDIA) resp. Xian (CHINA) level we have to use an index which gives at least an approximate indicator to characterize the overall level of economic development. As far as India is concerned the CENTRE FOR MONITORING INDIAN ECONOMY, Bombay has worked out a rough proxy indicator. The nine indicators used and the set of weights for each of them is given below:

CHANG, SD. Peking, in Encyck	Weight (%)	Macrocadings14
Indicator c	For all districts other than 9 dis- ricts indicated n the next col.	For 9 districts* with urban population of 72% or more
I <u>Agriculture</u>	<u>50</u>	<u>0</u>
1. Per capita value of output of		
18 major crops; average of 1975-76 to 1979-80	25	0
2. Per capity bank credit for	25	0
agriculture: June 1980	25	0
II Mining and Manufacturing	<u>20</u>	<u>35</u>
3. Number of mining and factor	ry	
workers per lakh of popu-		. Mechodologica
lation: 1974 4. Number of household manu-	8	14
facturing workers per lakh		
of population: 1981	4	7
5. Per capita bank credit for	ad Padato We had	Tendrato tomaces
manufacturing sector: June 19	980 8	14
III Service Sector	<u>30</u>	<u>65</u>
6. Per capita bank deposit: June		25
7. Per capita bank credit to serv		25
June 1980 8. Literacy (%): 1981	10	25 10
9. Urbanization (%): 1981	55	10
	J	·
TOTAL	100	100

* The nine districts are: Greater Bombay, Calcutta, Delhi, Madras, Hyderabad, Ahmadabad, Bhopal, Chandigarh and Yanam in Pondicherry. Regarding China, only two appropriate indicators, "gross output value of agriculture" and "gross output value of industry" are available on city (*quanshi*) level. They have been weighted on a 67:33 ratio basis. For a detailed explanation see: MACHETZKI, 1982, pp.652f. As far as the cities (*shiqu*) are concerned the figures are equally computed to the 9 urbanized districts of India.

- (47) Because of their comparatively large area of >1,000 sqkm (Dalian) or even >3,400 sqkm (Shenyang, Tab.2, col.2) these metropolises have not been considered in this context (Tab.13).
- (48) NISSEL, 1977, p.2.

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